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32995.12

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EXCHANGE by Mark S. Craig et al.

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PAGE 1/75 * RCVD AT 6/9/2006 6:46:14 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-6/38 * DNIS:2738300 * CSID:405 235 0439 * DURATION (mm-ss):16-48

113 Patent Pages 6/75 to 30/25

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Under the Paperwork Reduction Act of 1995 in	persons are required to rescond to a col	emeberi' and insterna	rk Office:	through 07/31/2008. CMB 0651-0031 U.S. DEPARTMENT OF COMMERCE t displays a valid OMB control number.
	Application Number	10/044,679		
TRANSMITTAL	Filing Date	January 11		2
FORM	First Named Inventor	Mark S. C	raig	
}	Art Unit	3628		
(to be used for all correspondence after initial fills	Examiner Name	Harish Da	SS	
Total Number of Pages in This Submission	Attorney Docket Number	32995.12		······································
	ENCLOSURES (Check ell	that apply)		
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under 37 CFR 1.52 or 1.53				
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Printed name Michael J. LaBrie		·		
Date June 9, 2006	R	eg. No. 39,	507	
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I hereby certify that this correspondence is being sufficient postage as first class_mall in an anyeld the date shown below;	receimile transmitted to the USPTC ne addressed to: Commissioner for	or deposited with Patents, P.O. Box	h the Ur x 1450, .	ited States Postal Service with Alexandria, VA 22313-1450 on
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This collection of information is required by 37 CFR 1.5. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form analyzi suggestors for reducing this bundler, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22313-1460.

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PATENT Attorney Ref.: 32995.12

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Mark S. Craig, Michael M. Miller and Charles H. Downs) Confirmation No.; 9713
) Group Art Unit: 3628
Application No.:	10/044,679) Examiner: Harish Dass
Filed:	January 11, 2002)
For:	REAL TIME FINANCIAL)
	INSTRUMENT IMAGE EXCHANGE)
	SYSTEM AND METHOD)

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

The following references were cited in a European Examination Report issued April 28, 2006 and received by our firm on June 5, 2006. The examination report was mailed prior to the mailing date of a first Office Action on the merits in the referenced patent application. It is believed that no fee is required. However, the Commissioner is authorized to charge any fees which may be necessary to Deposit Account No. 500449. A duplicate of this sheet is enclosed. Copies of the documents listed on the enclosed Form PTO-1449 are submitted herewith.

I hereby certify that this correspondence is being transmitted to the U.S. Patent and Trademark Office (Pax No.: (571) 273-8300) on the date shown below:

Michael F. LaBrie June 9, 2006

Date of Signature

A. U.S. Patent Application Publication

Publication No.	Inventor	Publication Date
US 2005/0100101 A1	Tsuzuki et al.	05-2005

B. Foreign Patent

PCT Publication No. WO 99/18533, published April 15, 1999.

Respectfully submitted,

June 9, 2006 Date Michael J. LaBrie Registration No. 39,507 McAFEE & TAFT

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Attorney for Applicants

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Page _1_ of _1_

Substitute for form 1449/PTO Complete if Known		Complete if Known
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets os necossary)	Application Number	10/044,679
	Filing Date	01/11/2002
	First Named Inventor	Harish Dass
(Use as many sheets as necessary)	Art Unit	3628
	Examiner Name	Harish Dass
Sheet 1 of 1	Attorney Docket Number	32995.12

U. S. PATENT DOCUMENTS

Examiner Initials	Cite	Document Number	Publication	Name of Patentee or	Pages, Columns, Lines, Where
micais	No.	Number-Kind Code	Date MM-DD- YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	1	US 2005/0100101 A1	05/12/2005	Tsuzuki et al.	·
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FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document Country Code Number Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	Т
	2	PCT WO/9918533	04/15/1999	Citibank, N.A.		
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